SEC Form 5

FORM 5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Form 3 Holdings Reported.

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

| OMB Number: | 3235-0362 |
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| Form 4 Transactio | ons Reported. | Filed | pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940 | | | | | |
|----------------------------------|---------------|----------|--|--|---------------------------------------|------------------|--|--|
| 1. Name and Address ARNOLD PA | | n* | 2. Issuer Name and Ticker or Trading Symbol <u>H&E Equipment Services, Inc.</u> [HEES] | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | |
| | | | | | Director | 10% Owner | | |
| | | | | - | Officer (give title | Other (specify | | |
| (Last) | (First) | (Middle) | 3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) | | below) | below) | | |
| C/O H&E EQUIE | MENT SERVICI | ES, INC. | 12/31/2018 | | | | | |
| 7500 PECUE LA | NE | | | | | | | |
| | | | 4. If Amendment, Date of Original Filed (Month/Day/Year) | 6. Indiv | ridual or Joint/Group Filing (| Check Applicable | | |
| (Street) | | | | Line) | | | | |
| BATON | | | | X | Form filed by One Report | ting Person | | |
| ROUGE LA | LA | 70809 | | | Form filed by More than One Reporting | | | |
| ROUGL | | | | | Person | | | |
| | | | | | | | | |
| (City) | (State) | (Zip) | | | | | | |

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) | | | 5. Amount of Securities Beneficially Owned at end of | 6. Ownership Form: Direct (D) or | 7. Nature of Indirect Beneficial Ownership | |
|--|--|---|---|--|---------------|------------------------------|---|---|---|--|
| | | (monta/bay) realy | 5) | Amount | (A) or (D) | Price | Issuer's Fiscal Year (Instr. 3 and 4) | Indirect (I) (Instr. 4) | (Instr. 4) | |
| Common Stock, par value \$0.01 per share | 01/31/2018 | | G | 8,007 | D | \$0.00 ⁽¹⁾ | 55,259 | D | | |
| Common Stock, par value \$0.01 per share | 02/08/2018 | | G | 2,032 | D | \$0.00 ⁽¹⁾ | 55,259 | D | | |
| Common Stock, par value \$0.01 per share | 02/21/2018 | | G | 2,906 | D | \$0.00 ⁽¹⁾ | 55,259 | D | | |

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| | | | (371 | , , | | | · · | | | | | | | |
|---|---|--|---|---|---|---|---------------------|--|--|--|---|--|--|--|
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | of Deriv Secur Acqu (A) or Dispo of (D) (Instr | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | Amoun Securit Underly Derivat | Amount of Securities Securities Underlying Underlying Security (Instr. 3 | | Price of sivative surity str. 5) 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| | | | | | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | | |

Explanation of Responses:

1. Shares transferred as a bona fide gift without any consideration.

Remarks:

/s/ W. Scott Bozzell Attorney-

in-Fact

<u>01/03/2019</u>

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.